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## STATEMENT REGARDING EMPLOYEES' RIGHTS AND INTERESTS & DEVELOPMENT

### BASIC RIGHTS AND INTERESTS

Suntech pursues an open, fair and equal labor employment policy, actively maintains harmony and stability of labor relations, supports and respects commitments and initiatives in protecting human rights as proposed in The Ten Principles of the UN Global Compact. In addition, the Company strictly follows the labor standards and relevant laws and regulations as stipulated by the local authorities where the factories and offices are located around the world. It resolutely prevents forced labor, does not interfere with employees' freedom of belief, treats employees equally, regardless of ethnicity, race, nationality, religious belief, gender, age, disability, marital status, etc., does not employ child labor, insists on equal pay for equal work, and promotes gender equality.

As of the end of 2020, Suntech had a total of 2,351 employees. Female managers (supervisors and above) accounted for 26 %. Foreign workers made up of about 0.6 %.

In China, Suntech strictly complies with the Labor Law, Labor Contract Law and other laws and regulations, makes social insurances available to employees according to the law, and even provides the supplementary commercial medical and accident insurance in many subsidiaries. The Company signs the Collective Labor Contract, Special Collective Contract for Wage Negotiation and Special Collective Contract for the Protection of Women's Rights and Interests with the Trade Union, to fully protect the rights and interests of employees with regard to labor compensation, working hours, rest and vacation, labor safety and health, insurance benefits and vocational skill training, etc.

As of the end of 2020, Suntech had a total of 2,351 employees, including 814 female employees, which accounted for about 35% of the Company's head count. Female managers (supervisors and above) accounted for 26% of the total number of employees. Foreign workers totaled 13, which made up of about 0.6%. The rate of official employees signing labor contract was 100%.

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## Social Responsibility Pledge

### **Laws and Regulation**

Our Company strictly complies with all applicable national laws and regulations, minimum industry requirement, and the mandatory regulations of international Labour Organization, the United Nations Convention.

### **Freedom of association and collective negotiation rights**

To meet local regulations, the company respect that all staff initiative organize and join trade unions and collective negotiation rights.

### **Discrimination**

In the items of relation to employment, compensation, training, promotion, dismissal or retire etc., the company shall not make or allow any discrimination activity base on race, social class, nationality, physical disability, gender, sexual orientation, union membership, political affiliation or age etc.

### **Salary**

The company shall ensure that staff can get the monthly salary which is met the salary standard in legal or conform to the industry minimum level at least. It contains the basic living and some discretionary income.

### **Working Time**

The company shall obey the regulations from local laws and industry standard regarding the working time, the one rest day is mandatory requirement after the employee continues working six days. All of overtime must be voluntary.

### **Workplace health and safety**

The company shall provide the safety and health workplace and training each employee the knowledge of health and safety.

### **Do not employ child labor**

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The company shall not hire the child labor that is before the legal age or doesn't finish the national compulsory education.

### **To prohibit forced labor and punitive measures**

The company shall be prohibited to force the staff to work, also shall not require the staff take any "deposit" or ID card in company. The company shall not make or allow the activity which is corporal punishment or coercion at spirit and body or verbal insults.

### **Environmental Safety**

Our company complies with all national laws and regulations on environment, to protect environment and reduce the influence on environment.

### **Management of Anti-Fraud Policy and Whistleblower Protection and Reward for Integrity**

The company has integrated a management policy to fully engage employees, suppliers and partners of Suntech (herein referred to as the "Company") to standardize Suntech's procedures for handling anti-fraud matters, establishes an efficient mechanism for receiving, sorting, tracking and closure of cases, improve efficiency and quality of investigation, and clarify responsibilities and obligations of the whistleblower and the Audit and Supervision Department. In its anti-fraud supervision system as per (WX0-W-IAC001 Whistleblowing Policy) , so that they actively report alleged illegal or improper activities such as fraud, corruption and duty-related crimes. It strives to effectively protect information of the raised concerns as well as the whistleblower, and provides whistleblower with reward where appropriate. This policy is also formulated to encourage employees to reject bribery, in particular commercial bribery, and outstanding behavior will be rewarded by the Company. And this Policy (WX0-W-IAC001 Whistleblowing Policy) applies to Suntech Headquarters and all of its controlled subsidiaries.

### **Others**

The content of this pledge applies to Wuxi Suntech Power Co., Ltd. being a Suntech affiliated company.

### **Supply Chain**

Suntech Monitors and requires Supply Chain Partners to at the minimum agree to this Social Responsibility

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Pledge for their own operations. Besides Suntech Suppliers must comply with the standards of Suntech Supplier Code even when this Supplier Code exceeds the requirements of applicable law. Suntech remains the right to audit suppliers and terminate business in the case of noncompliance.

Wuxi Suntech Power Co., Ltd.

Signature and Stamp



Date: May 10<sup>th</sup>, 2021

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## SUPPLIER CODE OF CONDUCT

### **Guiding Principle**

Our suppliers' business and labor practices must comply with all applicable laws, as well as the requirements and principles of this Supplier Code of Conduct ("Supplier Code"). Suppliers must comply with the standards of this Supplier Code even when this Supplier Code exceeds the requirements of applicable law.

### **Child Labor**

Suntech will not tolerate the use of child labor. Our suppliers must engage workers whose age the greater is of: (i) 16, (ii) the age of completion of compulsory education, or (iii) the minimum age to work in the country where work is performed. Furthermore, workers under the age of 18 must not perform hazardous work. Suntech supports the development of legitimate workplace apprenticeship programs that comply with applicable laws and this Supplier Code.

### **Involuntary Labor, Human Trafficking, and Slavery**

Our suppliers must not use forced labor - slave, prison, indentured, bonded, or otherwise. Our suppliers must not traffic workers or in any other way exploit workers by means of threat, force, coercion, abduction, or fraud. Working must be voluntary, and workers must be free to leave work and terminate their employment or other work status with reasonable notice. Workers shall not be required to pay recruitment, hiring, or other similar fees related to their employment; our suppliers must bear or reimburse to their workers the cost of any such fees. All fees and expenses charged to workers must be disclosed to Suntech and communicated to workers in their native language in advance of employment. Suntech also expects our suppliers to hold their third-party labor agents or brokers to the standards and practices covered by this Supplier Code.

Our suppliers must not require workers to surrender government issued identification, passports, or work permits as a condition of working, and our suppliers may only temporarily hold onto such documents to the extent reasonably necessary to complete legitimate administrative and immigration processing. Workers must be given clear, understandable contracts regarding the terms and conditions of their engagement in a language understood by the worker. Suppliers must ensure that each of its staffing or recruiting agencies comply with this Supplier Code and with the more stringent of the applicable laws of the country where work is performed and the worker's home country.

### **Safety and Health**

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Our suppliers must provide workers with a safe and healthy work environment, and suppliers must, at a minimum, comply with applicable laws regarding working conditions and with the standards below.

*Occupational Safety.* Suppliers must educate workers on safety procedures and also control worker exposure to potential physical safety hazards by implementing physical guards, barriers, and/or engineering and administrative controls. Workers must be informed and receive appropriate education in advance if they will be working with (or otherwise exposed to) hazardous or dangerous conditions or materials. In addition, workers must be given appropriate personal protective equipment and educated and trained on the proper use of such equipment. Suppliers must manage, track, and report occupational injuries and illnesses.

*Physically Demanding Work.* Suppliers must continually identify, evaluate, and control physically demanding tasks to ensure that worker health and safety is not jeopardized.

*Emergency Preparedness and Response.* Suppliers must identify and plan for emergency situations and implement and train their workers on response systems, including emergency reporting, alarm systems, worker notification and evacuation procedures, worker training and drills, first-aid supplies, fire detection and suppression equipment, and unblocked exit facilities.

*Machine Safeguarding.* Suppliers must implement a regular machinery maintenance program. Production and other machinery must be routinely evaluated for safety hazards.

*Sanitation and Housing.* Workers must be provided with reasonable access to clean toilet facilities and potable drinking water. If suppliers provide a canteen or other food accommodations, they must include sanitary food preparation, storage, and eating accommodations. If suppliers provide residential facilities for their workers, they must provide clean and safe accommodations. In such residential facilities, workers must be provided with emergency egresses, reasonable and secure personal space, entry and exit privileges, reasonable access to hot water for bathing, adequate heat and ventilation, and reasonable transportation to and from work facilities (if not reasonably accessible by walking).

### **Wages and Benefits**

Our suppliers must pay their workers in a timely manner and provide compensation (including overtime pay and benefits) that, at a minimum, satisfies applicable laws. Suppliers must provide to their workers the basis on which workers are being paid in a timely manner via pay stub or similar documentation. Deductions from wages as a disciplinary measure are not permitted.

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## **Working Hours**

Except in unusual or emergency situations, (i) suppliers must not require a worker to work more than 44 hours per week, including overtime, and (ii) each worker must be entitled to at least one day off for every seven-day work period. In all circumstances, working hours must not exceed the maximum amount permitted by law.

## **Anti-discrimination**

Conditions of working must be based on an individual's ability to do the job, not on personal characteristics or beliefs. Our suppliers must not discriminate on the basis of race, color, national origin, gender, sexual orientation, religion, disability, age, political opinion, pregnancy, marital or family status, or similar factors in hiring and working practices such as job applications, promotions, job assignments, training, wages, benefits, and termination. Suppliers must not subject workers or applicants to medical tests that could be used in a discriminatory manner.

## **Fair Treatment**

All workers must be treated with respect and dignity. Our suppliers must not engage in or permit physical, verbal, or psychological abuse or coercion, including threats of violence, sexual harassment, or unreasonable restrictions on entering or exiting work and residential facilities. Workers must be free to voice their concerns to Suntech or its auditors, and allowed to participate in the Suntech audit process, without fear of retaliation by supplier management.

## **Immigration Compliance**

Our suppliers may only engage workers who have a legal right to work. If suppliers engage foreign or migrant workers, such workers must be engaged in full compliance with the immigration and labor laws of the host country.

## **Freedom of Association**

Our suppliers must respect the rights of workers to establish and join a legal organization of their own selection. Workers must not be penalized or subjected to harassment or intimidation for the non-violent exercise of their right to join or refrain from joining such legal organizations.

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## **Ethical Behavior**

*No Bribery.* Our suppliers may not engage in bribery with anyone for any reason, whether dealings with government officials or the private sector. This includes offering, promising, giving, or accepting anything of value to obtain or provide undue or improper advantages to anyone for any reason.

*Anti-Corruption.* Suppliers must comply with applicable anti-corruption laws, and never bribe a government official on Suntech's behalf. Suppliers may not offer, give, or promise anything of value, either directly or indirectly, to government officials to encourage them to act improperly or to reward them for doing so. Prohibited payments can take many forms including, but not limited to, cash or cash equivalents, gifts, meals, and entertainment. Any questions regarding the applicability of this provision or exceptions to this provision must be directed to the Legal Department of Suntech.

Suppliers are encouraged to report any corruption behavior of Supplier employees or management that involves Suntech, through the following ways:

1. Tel: +86-510-85318008;
2. Email: [whistleblower@suntech-power.com](mailto:whistleblower@suntech-power.com)
3. Mailing address: 9 Xinhua Road, Xinwu District, Wuxi, China (214028) Suntech Internal Audit Dept.

*Whistleblower Protections.* Suppliers must protect worker whistleblower confidentiality and prohibit retaliation against workers who report workplace grievances. Suppliers must create a mechanism for workers to submit their grievances anonymously.

## **Management Systems**

Suppliers must adopt a management system to ensure compliance with applicable laws and this Supplier Code and to facilitate continual improvement.

*Management Accountability and Responsibility.* Suppliers must have designated representatives responsible for implementing management systems and programs that oversee compliance with applicable laws as well as this Supplier Code. Senior management must routinely review and assess the quality and efficiency of the management systems and programs. Suntech also expects our suppliers to hold their suppliers and subcontractors to the standards and practices covered by this Supplier Code.

*Risk Management.* Suppliers must establish a process to identify the environmental, health, safety, and

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ethical risks associated with their operational and labor practices. In addition, management must develop appropriate processes to control identified risks and ensure regulatory compliance.

*Training.* Management must maintain appropriate training programs for managers and workers to implement the standards in this Supplier Code and to comply with applicable legal requirements.

*Communication and Worker Feedback.* Suppliers must clearly and accurately communicate and educate workers about Suntech policies, practices, and expectations. Suntech may require suppliers to post this Supplier Code in a location accessible to their workers (translated into the appropriate local language(s)). In addition, Suntech encourages suppliers to partner with us to implement a process to assess workers' understanding of the standards and practices covered by this Supplier Code.

*Documentation and Records.* Suppliers must create, retain, and dispose of business records in full compliance with applicable legal requirements along with appropriate confidentiality to protect privacy.

## **Environment**

Our suppliers must comply with applicable environmental laws. Suntech encourages our suppliers to implement systems that are designed to minimize the impact on the environment by the supply chain system, the production process, and the products themselves.

*Environmental Permits and Recordkeeping.* Suppliers must obtain and keep current all required environmental permits, approvals, and registrations and follow applicable operational and reporting requirements.

*Effective Management and Disposal of Hazardous Substances.* Suppliers must effectively identify and manage the safe handling, movement, storage, and disposal of chemicals and other substances that pose a threat to the environment, including providing workers with appropriate training on the safehandling and disposal of hazardous substances. Suppliers must also monitor and control wastewater or solid waste generated from operations before disposing in accordance with applicable laws. In addition, suppliers must characterize, monitor, control, and treat regulated air emissions before discharging in accordance with applicable laws.

*Continuous Improvement.* Suntech encourages our suppliers to continuously improve and reduce waste. Suntech welcomes suggestions and feedback from its suppliers to improve Suntech's own operations and processes.

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**Corrective Action**

Suppliers' compliance with this Supplier Code is subject to Suntech's review, including third-party auditing of work and residential facilities and conducting confidential worker interviews. Suppliers must be transparent and provide prompt access to their facilities, records, and workers during any audit. We require suppliers to promptly provide a detailed remediation plan and take corrective actions for deviations from this Supplier Code, and Suntech will track suppliers' remediation efforts. Suntech may (without liability) terminate its relationships with any supplier found to be in violation of this Supplier Code, including for denying prompt access to our auditors.

Wuxi Suntech Power Co., Ltd.

Signature and Stamp



Date: May 10<sup>th</sup>, 2021